FORM **BE-15 Supplement C**(REV. 12/99)

U.S. DEPARTMENT OF COMMERCE BUREAU OF ECONOMIC ANALYSIS

ANNUAL SURVEY OF FOREIGN DIRECT INVESTMENT IN THE UNITED STATES – 1999

CLAIM FOR EXEMPTION FROM FILING A BE-15(LF) OR BE-15(SF)

MAIL REPORTS U.S. Department of Commerce Bureau of Economic Analysis BE-49(A) Washington, DC 20230

DELIVER REPORTS TO U.S. Department of Commerce Bureau of Economic Analysis BE-49(A) Shipping and Receiving Section M100 1441 L Street, NW Washington, DC 20005

File a single original copy of this report with the Bureau of Economic Analysis; this should be the copy with the address label, if such a labeled copy has been provided.

INSTRUCTIONS

Response required — The publication in the Federal Register of the notice implementing this survey is considered legal notice to covered U.S. business enterprises of their obligation to report. Therefore, a response is required for each U.S. business enterprise that was a U.S. affiliate of a foreign person at the end of the business enterprise's 1999 fiscal year. Also, a person or their agent, contacted by BEA concerning their being subject to reporting, either by sending them a report form or by written inquiry, must respond in writing pursuant to Section 806.4 of 15 CFR, Chapter VIII. A response is defined as completion and return of Form BE-15(LF), or Form BE-15(SF), if applicable, by May 31, 2000, or of this Form BE-15 Supplement C within 30 days of its receipt, if the U.S. business enterprise in exempt from filing.

A U.S. affiliate is a U.S. business enterprise in which one foreign person owned or controlled, directly or indirectly, 10 percent or more of the voting securities if an incorporated U.S. business enterprise, or an equivalent interest if an unincorporated U.S. business enterprise. See Instructions (attached to Forms BE-15(LF) and BE-15(SF)) for reporting requirements (Section I) and for definitions of affiliate and U.S. affilate (Section II).

Consolidated reporting — A U.S. affiliate shall file on a fully consolidated domestic (U.S.) basis, including in the full consolidation all other U.S. affiliates in which it directly or indirectly owns more than 50 percent of the outstanding voting securities. The fully consolidated entity is considered to be one U.S. affiliate. See Instructions, III.D. of BE-15(LF) or BE-15(SF). Reporting criteria are applied to the consolidated entity.

Aggregation of real estate investments — Aggregate all real estate investments of a foreign person for the purpose of applying the reporting criteria. A single report form must be filed to report the aggregate holdings, unless permission has been received from BEA to do otherwise. Those holdings not aggregated must be reported separately. See Instructions, I.C. of BE-15(LF) or BE-15(SF).

Fiscal year — The U.S. affiliate's 1999 fiscal year is defined to be the affiliate's financial reporting year that has an ending date in calendar year 1999.

Assistance — Telephone (202) 606–5577 during office hours – 8:30 a.m. to 4:30 p.m. eastern time.

NOTE — 18 U.S.C. 1001 (Crimes and Criminal Procedure) makes it a criminal offense to make a willfully false statement or representation to any department or agency of the United States as to any matter within its jursidiction. Any officer, director, employee, or agent of any corporation who knowingly participates in a willful failure to report, upon conviction, may be punished by a fine, imprisonment, or both (22 U.S.C. 3105).

BEA USE ONLY | Control number

Public reporting burden for this claim for exemption is estimated to vary from 15 to 45 minutes per response, with an average of 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230, and to the Office of Management and Budget, Paperwork Reduction Project 0608-0034, Washington, DC 20503.

MANDATORY — This survey is being conducted pursuant to the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101-3108, as amended – hereinafter "the Act"), and the filing of reports is mandatory pursuant to Section 5(b)(2) of the Act (22 U.S.C. 3104).

CONFIDENTIALITY — The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

IDENTIFICATION OF U.S. AFFILIATE

A. Name and address of U.S. business enterprise for which this claim is filed – If the business enterprise received a prelabeled Form BE-15(LF), or Form BE-15(SF), enter in the blocks below the name, address, and BEA Identification Number from the label affixed to Part 1, item 1, of that form; skip a single block between words. If a prelabeled form was not received, enter name and address as contained on letter transmitting the report forms to you, indicating any changes or corrections.

	, ,
Name of U.S. affiliate	
1002 1	
c/o (care of)	
1010 1	
Street or P.O. Box	
1003 1	
City, State, and Country (if foreign)	
1004 1	
U.S. ZIP Code Foreign Postal Cod	de
1005 1 OR 2	
B. Enter Employer Identification Number(s) used payroll taxes.	by the U.S. business enterprise to file income and
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1006 1 – 2	-
Complete item 1 or item 2	(on back), whichever is applicable.

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This U.S. business enterprise was a U.S. affiliate of a foreign person at the end of its 1999 fiscal year, but is exempt from filing a Form BE-15(LF), or Form BE-15(SF), because, on a fully consolidated, or in the case of real estate investments, an aggregated basis, each of the following three items for the U.S. affiliate (not the foreign parent's share) did not exceed \$30 million (positive or negative) at the end of, or for, its 1999 fiscal year:

a. Total assets (do not net out liabilities)
b. Sales or gross operating revenues, excluding sales taxes, and
c. Net income after provision for U.S. income taxes.

Enter value or amount for each of the following three items.

Total assets at close FY 1999 — Do not net out liabilities.

Sales or gross operating revenues for FY 1999, excluding sales

taxes — Do not give gross margin.	2149	
		1
Net income for FY 1999, after provision for U.S. income taxes	2159	
Also complete the following.		
Country of foreign parent		BEA USE ONLY
		3016 1
Country of ultimate beneficial owner — See Instructions, II.O or BE-15(SF).	of BE	-15(LF)

3022

If item 1 is marked — No Form BE-15(LF) or Form BE-15(SF) must be filed at this time. However, this Form BE-15 Supplement C, including the certification below, must be filed within 30 days of the date it was received.

If this claim for exemption is disallowed, the original due date of the BE-15 remains in force.

PENALTIES — Whoever fails to report shall be subject to a civil penalty of not less than \$2,500, and not more than \$25,000, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violations, upon conviction, may be punished by a like fine, imprisonment or both. (22 U.S.C. 3105)

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control

PERSON TO CONSULT CONCERNING QUESTIONS ABOUT THIS REPORT — Enter name and address	CERTIFICATION — The undersigned official certifies that this repor prepared in accordance with the applicable instructions, is complete	e, and is substan-							
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	Authorized official's signature								
TELEPHONE 1001 1 Area code 2 Number 3 Extension									
4 Area code 5 Number	Print or type name and title Da)ate							
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E-MAIL ADDRESS									

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